

**Notice of References Cited**

Application/Control No.

10/712,082

Applicant(s)/Patent Under  
Reexamination  
HARLOW ET AL.

Examiner

James A. Vezeris

Art Unit

4172

Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
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**FOREIGN PATENT DOCUMENTS**

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**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Using Value-at-Risk to Control Risk Taking: How Wrong Can You Be? by Xiogwei Ju and Neil D. Pearson□□ <a href="http://www.smartquant.com/references/VaR/var11.pdf">http://www.smartquant.com/references/VaR/var11.pdf</a> □□October 1998□□
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